

CUMBERLAND COUNTY Maine

The American Rescue Plan Act (ARPA) of 2021
Coronavirus State & Local Fiscal Recovery Funds (SLFRF)
Pre-Application

Pre-Application Due
4:00 PM, November 15, 2021



The American Rescue Plan Act (ARPA) of 2021
Coronavirus State & Local Fiscal Recovery Funds (SLFRF) Application

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I. APPLICATION INSTRUCTIONS

A. INTRODUCTION

The American Rescue Plan Act of 2021, through the Coronavirus State and Local Fiscal Recovery Fund, has provided just over \$57 million to Cumberland County to “help turn the tide on the pandemic, address its economic fallout, and lay the foundation for a strong and equitable recovery.” The County is using a community-driven, data-informed process to ensure the effective, efficient, and equitable distribution of this federal funding.

The American Rescue Plan (ARP) is an unprecedented opportunity for Cumberland County to leverage onetime, non-recurring federal funds to respond to the health and economic impacts of COVID-19. With this funding, Cumberland County is accepting Pre-applications from qualifying candidates that aim to advance community-driven solutions to recovery that deliver equitable outcomes and transformative change. Aid and investments will be targeted toward communities with the greatest needs and aligned with the priorities of people most impacted by the pandemic and resulting economic crises.

B. AVAILABLE FUNDING

Cumberland County’s American Rescue Plan makes available a total of Eleven Million dollars to qualifying projects that propose big, bold investments that deliver equitable outcomes and transformative impacts. Each project starts as a Pre-Application and moves through the following process.

C. PRIORITIZING PROJECTS AND FUND DISTRIBUTION

The following categories outlined below, identify the highest priority of the County. Other eligible uses will be considered in future rounds of funding availability. A list of modified uses can be found in Appendix B. Projects will be prioritized and funds distributed across five core categories:

- Housing
- Infrastructure
- Healthcare
- Workforce Training
- Homelessness



D. PROJECT FUNDING

Projects and or programs must first meet one or more of the Statutory Eligible uses outlined within sections 602 & 603 of the American Rescue act Coronavirus State Fiscal Recovery Fund (SLFRF). Expenditure categories can be found in Appendix B. Implementation of the American Rescue Plan will occur on a project basis. Each project starts as a proposal and moves through the following process:

E. PROJECT LIFECYCLE

1. Proposal Development
 - a. Pre-Application Submission
 - b. Eligibility
 - c. Final Application for Funding
 - d. ARPA Advisory Committee
2. Funding Allocation
 - a. Agreement
 - b. Reimbursement requests
3. Administration, Reporting & Compliance
4. Evaluation, Reconciliation & Final data collection

1. Pre-Application Development

- a. Pre-Application Submission:

Until all the County's ARPA fiscal recovery funds have been allocated, residents, small businesses, and community based organizations may submit Pre-Applications for funding to warren@cumberlandcounty.org using the template in Appendix A below. The County will have an initial Pre-Application round that will begin on October 15, 2021 and close November 15, 2021. Community based organizations with a track record of delivering vital services to residents are encouraged to submit Pre-Applications for funding.

- b. Eligibility

Once a Pre-Application is submitted, it goes through a first round of review to determine eligibility. Eligible Pre-Applications must align with one of the expenditure categories defined by the United States Department of Treasury that the County is seeking applications for. A full list of expenditure categories can be found in Appendix B. Please note, not all uses allowable are listed in this category please be sure your application fits into one or more of the uses selected by the County. Authors of



eligible Pre-Applications will be invited to complete a final application. **Eligibility is not a commitment for funding, it is a basic requirement to proceed with the application process.** *Authors of ineligible Pre-Applications will be notified with the opportunity to resubmit for a future round of funding.*

c. Final Application for Funding

When Pre-Applications are deemed eligible, the author of the Pre-Application will be invited to complete a Final Application for funding. The Final Application is an opportunity for Pre-Application authors to provide more details about the project, implementation, reporting, and evaluation plan. A full list of application questions can be found in Appendix A.

d. ARPA Advisory Committee

Once a final application is submitted, it will be scored and reviewed by the ARPA Advisory Committee (AAC), comprised of Cumberland County staff as well as Cumberland residents appointed by County Commissioners. Scoring rubric is as follows:

- Project Description
- Critical Need ARPA Qualification
- Project Management
- Complexity & Readiness to proceed
- Companion funds/Project budget
- Equity & Community Benefit
- Sustainability

Incomplete Final Applications will not be reviewed. **A completed Final Applications is not a commitment to fund a project.** After scoring each application, AAC members shall provide one of the following recommendations:

1. Fund
2. Fund with revisions
3. Do not fund at this time

2. Funding Allocation

Projects that are rated by the AAC will be presented to Cumberland County Commissioners. Projects will be recommended based on the combined score



of the AAC. Cumberland County Commissioners will make the final decision about which projects receive ARP funding and final allocation.

a. Agreement

Once County Commissioners have decided to fund a project, the applicant must work with County staff to develop an agreement. For internal applicants, this will typically take the form of a Letter of Agreement or Memorandum of Understanding. For external applicants, this will take the form of a Grant Agreement or contract. The agreement will describe the terms and conditions of the funding, including, but not limited to, purpose, term, eligible uses, scope, reporting requirements, process to modify or terminate the agreement, audit requirements, and any other County requirements.

b. Reimbursement

The agreement will include the terms of reimbursement of funds. Actual reimbursement of funds is subject to existing County policies and procedures.

3. Administration

Reporting and compliance requirements will be defined in the SLFRF County Contract. The administration phase will serve to monitor the implementation of each project and track progress on key indicators. Each project is responsible for complying with the terms and conditions within the SLFRF County Contract.

The Cumberland County holds compliance with federal guidelines as well as state and local laws with the utmost importance. Failure to execute a project as planned or comply with the SLFRF County Contract may result in corrective action, recovery of funds, termination of the agreement, and/or other civil or criminal proceeding as required by Law.

4. Evaluation

Projects will be closed once they have fulfilled the terms of their agreement. All funds will be reconciled and unused funding returned. Final reports will include an evaluation of the project that includes data collection and reporting as outlined in the agreement.



Appendix A

The American Rescue Plan Act (ARPA) of 2021 Pre-Application

Pre-Application Deadline: 4:00 PM, November 15, 2021

All Pre-Applications are due on November 15, 2021 no later than 4:00pm. Those submitted after the deadline will be excluded from this round of funding.

Instructions for Submission:

You must complete and submit the following Cumberland County Pre-Application to be eligible to move to final application process.

Completed Pre- Applications may be submitted in one of the following three ways:

1. Email to Sandra Warren, County Compliance Manger, at warren@cumberlandcounty.org and request confirmation of receipt. If you do not receive a confirmation within 24 hours of submitting your application please call for confirmation 207-699-1900 OR 207-871-8380
2. Mail the completed Pre-application to

Sandra Warren, County Compliance Manger
142 Federal Street Suite 100
Portland, Maine 04101
3. Hand deliver to Cumberland County Executive office, 142 Federal Street, Portland, Maine, attention Sandra Warren, County Compliance Manger.

Resources:

Please call 207-699-1900 OR 207-871-8380 or review the Cumberland County's ARPA website for [ARPA Q&A](#).

ARPA Pre-Application

Basic Eligibility

Core Category:

Housing Infrastructure Healthcare Workforce Training Homelessness

ARPA Expenditure Category (Refer to Appendix B):

Is this a new, continuation or expansion of an existing program or project?

Summary of Project or Program, including name, services area, proposed impact measures and the nexus to the American Rescue Plan Act that supports your request for funds, and why you feel that your request fits ARPA requirements:

Does this project fall under Expenditure Category EC 1, EC 2 or EC 3 and will this project use evidence based data for it's intervention¹? Yes No

If yes, please describe the data that will used:

Please outline your grant experience:

¹ For a broader explanation regarding evidence based interventions, see Principles of Evidence-Based Policymaking 2016 available at https://www.urban.org/sites/default/files/publication/99739/principles_of_evidence-based_policymaking.pdf

Origination & Additional Information

Operating Agency:			
Project Timeline:			
Program Area:			
Mailing Address:			
Address Services are Delivered:			
Executive Director or Town Manager:		Phone	Email
Project Director:		Phone	Email
Authorized Representative responsible for Pre-Application:		Phone	Email
Total amount of funding needed to support your Project \$	Total Program Budget (Min Grant amounts 100k) \$		
Identify Match Funding and Amount: Organization: Funding Amount: \$ Organization: Funding Amount: \$	Has this Pre-Application been approved by your Executive Director, board and or town council? *Please provide a copy or letter of said approval.		
ARPA Funds your organization is receiving directly from the federal government: \$	Dollar Amount of Funds Requested from Cumberland County: \$		
DUNS Number:		Tax ID :	
SAM Registration Number:			

I certify that the information in this Pre-Application is true and correct to the best of my knowledge:

Printed Name of Authorizing Party

Authorizing Signature

Date

Appendix B: Expenditure Category

“Eligibility” refers to the expenditure categories listed in the table provided by the U.S. Treasury below. Please note this chart does omit some uses that do not fall under the highest priorities of the County at this time.

U.S. DEPARTMENT OF THE TREASURY	
Appendix B: Expenditure Categories	
The Expenditure Categories (EC) listed below must be used to categorize each project as noted in Part 2 above. The term "Expenditure Category" refers to the detailed level (e.g., 1.1 COVID-10 Vaccination). When referred to at the summary level (e.g., EC 1) it includes all Expenditure Categories within that summary level.	
1: Public Health	
1.1	COVID-19 Vaccination"
1.2	COVID-19 Testing"
1.3	COVID-19 Contact Tracing
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)*
1.5	Personal Protective Equipment
1.6	Medical Expenses (including Alternative Care Facilities)
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19
1.10	Mental Health Services*
1.11	Substance Use Services*
1.12	Other Public Health Services
2: Negative Economic Impacts	
2.5	Household Assistance: Eviction Prevention* "
2.7	Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment, Employment Supports or Incentives)* "
2.9	Small Business Economic Assistance (General)* "
2.10	Aid to Nonprofit Organizations*
2.11	Aid to Tourism, Travel, or Hospitality
2.12	Aid to Other Impacted Industries
2.13	Other Economic Support* "
3: Services to Disproportionately Impacted Communities	
3.1	Education Assistance: Early Learning* "
3.2	Education Assistance: Aid to High-Poverty Districts "
3.3	Education Assistance: Academic Services* "
3.4	Education Assistance: Social, Emotional, and Mental Health Services* "
3.5	Education Assistance: Other* "
3.6	Healthy Childhood Environments: Child Care* "
3.7	Healthy Childhood Environments: Home Visiting* "
3.8	Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System* "
3.9	Healthy Childhood Environments: Other* A
3.10	Housing Support: Affordable Housing* A
3.11	Housing Support: Services for Unhoused Persons* A

3.12	Housing Support: Other Housing Assistance*	A
3.13	Social Determinants of Health: Other*	A
3.14	Social Determinants of Health: Community Health Workers or Benefits Navigators*	A
3.15	Social Determinants of Health: Lead Remediation	A
5. Infrastructure		
5.1	Clean Water: Centralized Wastewater Treatment	
5.2	Clean Water: Centralized Wastewater Collection and Conveyance	
5.3	Clean Water: Decentralized Wastewater	
5.4	Clean Water: Combined Sewer Overflows	
5.5	Clean Water: Other Sewer Infrastructure	
5.6	Clean Water: Stormwater	
5.7	Clean Water: Energy Conservation	
5.8	Clean Water: Water Conservation	
5.9	Clean Water: Nonpoint Source	
5.10	Drinking water: Treatment	
5.11	Drinking water: Transmission & Distribution	
5.12	Drinking water: Transmission & Distribution: Lead Remediation	
5.13	Drinking water: Source	
5.14	Drinking water: Storage	
5.15	Drinking water: Other water infrastructure	
5.16	Broadband: "Last Mile" projects	
7. Administrative		
7.2	Evaluation and Data Analysis	
7.4	Transfers to Non-entitlement Units (States and territories only)	
<p>*Denotes areas where recipients must identify the amount of the total funds that are allocated to evidence-based interventions (see Use of Evidence section above for details)</p> <p>ADenotes areas where recipients must report on whether projects are primarily serving disadvantaged communities (see Project Demographic Distribution section above for details)</p> <p>27 Definitions for water and sewer Expenditure Categories can be found in the EPA's handbooks. For "clean water" expenditure category definitions, please see: https://www.epa.gov/sites/production/files/2018-03/documents/cwdefinitions.pdf.</p> <p>For "drinking water" expenditure category definitions, please see: https://www.epa.gov/dwsrf/drinking-water-state-revolving-fund-national-information-management-system-reports</p> <p>Coronavirus State and Local Fiscal Recovery Funds See Compliance and Reporting Guidance pg32 https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf</p>		

Appendix C Qualified Census Tract

“Qualified Census Tract” aims to ensure that funds are targeted to areas with the greatest need, like the regions highlighted below where the U.S. Department of Housing and Urban Development has determined that there are the highest concentrations of households living with low incomes and/or high poverty. <https://www.huduser.gov/portal/datasets/qct.html>

